Final Topographic Data Development Report

# Task Order HSFEHQ-10-J-0006 for Chemung Watershed, NY

FEMA Contract HSFEHQ-09-D-0369 September 30, 2011



Federal Emergency Management Agency Department of Homeland Security 26 Federal Plaza Room 1337

New York, NY 10278

## TECHNICAL SUPPORT DATA NOTEBOOK (TSDN)

for

Chemung Watershed, NY

#### TOPOGRAPHIC DATA DEVELOPMENT TSDN

#### SUBMITTED BY:



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DATE SUBMITTED: September 30, 2011

## TABLE OF CONTENTS

ACRONYMS A	ND ABBREVIATIONS	įij
1.0	TASK SUMMARY 1.1 Introduction	
2.0	METHODOLOGY 2.1 Acquisition	.4
3.0	TSDN 3.1 General	
4.0	EXCEPTIONS	6
5.0	CONCLUSIONS	6
6.0	REFERENCES	6
	FIGURES	
Figure 1. Exte	ent of the Chemung Watershed, NY LiDAR collection project	3
	APPENDICES	
Appendix A	TSDN Documents	
	<ul> <li>Digital Topographic Data Requirements Checklist (Table A-3 in Appendit A Section A.4)</li> </ul>	ίX
	<ul> <li>FEMA Digital Mapping Information Checklist (L.5)</li> </ul>	
	• Certification of Compliance Form (Figure M-8 in Appendix M Section 10	))
Appendix B	Quality Assurance / Quality Control	
Appendix C	External hard drive or DVD with all applicable data	

## ACRONYMS AND ABBREVIATIONS

AOI Area of Interest

ALS Airborne Laser scanner

ASPRS American Society of Photogrammetry and Remote Sensing

DCS Data Capture Standards

DEM Digital Elevation Model

DFIRM Digital Flood Insurance Rate Map

FEMA Federal Emergency Management Agency

FGDB File Geodatabase

G&S Guidelines and Specifications for Flood Hazard Mapping Partners, as amended

LAS LiDAR File Format

LiDAR Light Detection And Ranging
MIP Mapping Information Platform
NAD83 North American Datum of 1983

NAVD88 North American Vertical Datum of 1988

NFIP National Flood Insurance Program

QA/QC Quality Assurance / Quality Control

RAMPP Risk Assessment, Mapping, and Planning Partners

TIN Triangulated Irregular Network
TMSI Tuck Mapping Solutions, Inc.

TSDN Technical Support Data Notebook

USGS Unites States Geological Survey

#### 1.0 TASK SUMMARY

#### 1.1 INTRODUCTION

This report presents the topographic data development Technical Support Data Notebook (TSDN) for the elevation data acquisition related to the Chemung Watershed, NY.

The deliverables for this TSDN submittal include written certification that the topographic data development meets minimum Federal Emergency Management Agency (FEMA) standards and specifications. In cases where data do not meet the required standards and specifications, an explanation is included. Additionally, the related metadata file has been uploaded to the Mapping Information Platform (MIP).

#### 1.2 PROJECT WORK SCOPE

The following is the Risk Assessment, Mapping, and Planning Partners (RAMPP) Scope of Work for Task Order HSFEHQ-10-J-0006 for Chemung Watershed, NY.

Scope: RAMPP acquired and processed LiDAR data to support flood hazard data updates based on the FEMA Ordering Sheet, dated June 29, 2010. The acquired elevation data complies with the FEMA Procedures Memorandum: Standards for LiDAR and other High Quality Elevation Data (version dated July 19, 2010). RAMPP will be responsible for full turnkey implementation of the elevation collection, processing and post processing of the LiDAR data, checkpoint surveys, quality assurance and quality control (QA/QC).

Upon completion of topographic data collection and processing, RAMPP will submit a detailed description of the data available and include the source input files used in a TSDN report document and upload the digital data to the MIP where applicable. Additionally, RAMPP will submit a hard drive to the FEMA Engineering Library with all the LiDAR data and the appropriate reports and documents.

<u>Standards</u>: All topographic development was performed in accordance with FEMA *Procedure Memorandum 61: Standards for LiDAR and other High Quality Elevation Data*, and deliverables will be provided according to FEMA G&S Appendix M.

<u>Deliverables</u>: RAMPP will make the following products available to FEMA by uploading the digital data to the MIP, where applicable, or via hard drives:

- Metadata: Metadata shall comply with the requirements in the USGS LiDAR
  Guidelines and Base Specification, version 13 and section 2.2 of FEMA's
  Procedure Memorandum Standards for LiDAR and Other High Quality
  Digital Topography. Some features, such as geo-referenced, spatial
  representations of polygons cannot be inserted into metadata and will instead
  be part of the metadata deliverable (reports) in digital and hardcopy formats
- LiDAR Data Acquisition Report in pdf format.



#### • Raw Point Cloud

- Compliant with LAS 1.2 Point Data Record Format, 1 & 3 or LAS 1.3
   Point Data Record Format 1, 3, 4, or 5"
- Georeference information included in each LAS file headers
- o Adjusted GPS timestamps for each pulse
- Intensity values
- One file per swath, one swath per tile, large swaths shall be split into segments no greater than 2GB each and assigned a unique File Source ID

#### Classified Point Cloud

- o Compliant with LAS 1.2 Point Data Record Format, 1 & 3 or LAS 1.3 Point Data Record Format 1, 3, 4, or 5"
- o Georeference information included in each LAS file headers
- o Adjusted GPS timestamps for each pulse
- o Intensity values
- o Tiled delivery, without overlap
- Hydro-lines in an ESRI geodatabase, (version 9.3)
- Low confidence polygons in a ESRI geodatabase (version 9.3)
- LiDAR QA/QC Report in pdf format
- Void areas: identification of voids and methods used to supplement data voids
- Checkpoint Survey Report, to include NGS data sheets for Network Control Points used to control remote sensing and checkpoint ground surveys
- Other supporting files consistent with Document Control Standards (DCS) in the G&S
- A Summary Report that describes and provides the results of all automated or manual QA/QC review steps taken during the preparation of the topographic data as outlined in the QA/QC plan
- USGS requirements as per documentation specified in Section 4 Standards

Topographic data deliverables shall be horizontally referenced to NAD83, (NSRS2007), UTM Zone 18, and vertically referenced to NAVD88.



#### 2.0 METHODOLOGY

#### 2.1 ACQUISITION

LiDAR data was acquired by Tuck Mapping Solutions, Inc. (TMSI) for the Chemung Watershed and broken down into two AOIs based on the level of processing performed on the dataset. AOI-1 covers approximately 709 square miles, which is the full extent of the acquisition boundary plus a 100-meter buffer around the perimeter of this boundary. AOI-1 was delivered as full-swath, calibrated, and boresighted flight lines in LAS format. A subset of AOI-1, delineated by a buffer around the major stream networks, covers approximately 308 square miles. This dataset (AOI-2) was processed by TMSI to classify bare-earth ground points and hydro features and was delivered as a tiled, classified, point cloud in LAS format using the following classification scheme:

- Class 1 Processed but unclassified
- Class 2 Bare-earth ground
- Class 7 Low points and noise
- Class 9 Water
- Class 11 Withheld

Figure 1 shows the acquisition and processing areas for the Chemung Watershed AOI dataset. The blue lines depict the swath boundaries acquired and processed for AOI-1, and the yellow lines show the extent of the data that was processed for AOI-2.

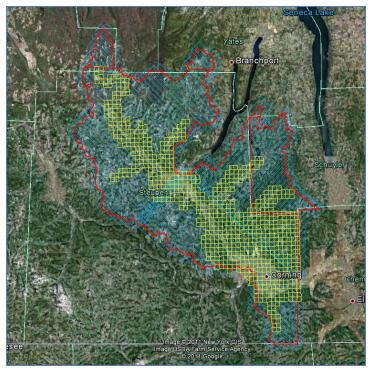


Figure 1. Extent of the Chemung Watershed, NY LiDAR collection project.



#### 2.2 PROCESSING

Processing of the LiDAR data begins with refinement of the initial boresight alignment parameter in the ALS Processor configuration file (.reg) delivered with the raw data. For projects that have more than one lift, the boresight for each lift has to be completed individually because it may differ slightly from lift to lift. Lift boresighting is accomplished using the tri-directional calibration flight lines over the project area. One calibration flight line is flown bi-directionally overlapping a project flight line within the lift. This bi-directional calibration will also be used as a parallel flight line with the adjacent flight line. There is a cross flight line collected perpendicular to both. All three lines along with the parallel project flight line are examined to ensure that they agree, within expected system tolerances, in the overlapping areas. The two bi-directional flight lines are used to diagnose Roll and Pitch. The two parallel flight lines are used to diagnose and correct Heading error. The two perpendicularly overlapping flight lines are used to examine Variable Scan Angle error. To begin lift boresight, the raw LiDAR data of the calibration flight lines will be processed with the initial boresight parameters determined from the LiDAR Sensor Calibration. Once the boresighting is done for the calibration flight lines, the adjusted settings will be applied to the complete lift and checked for consistency.

For a well-maintained LiDAR system, functioning correctly under normal operating conditions, actual boresight angles can be considered constant throughout a single mission. Therefore, once the boresight angles have been adjusted based on the calibration flight lines, the same corrections can be applied to the entire lift.

Under optimal circumstances, the boresight parameters determined for the calibration flight lines should be the same for all flight lines in the lift, but residual errors can occur. To correct for this, all of the overlaps between flight lines (side lap) and intersections of the project cross flight lines should be examined for internal consistency. If the results of the boresights start showing drift in the middle of the lift or the misalignment between flight lines starts exceeding project accuracy specifications, boresight parameters need to be adjusted to correct these errors.

Once boresight adjustments are completed for each individual lift, the technician checks and corrects the vertical misalignment of all the flight lines and also the matching between data and ground truth. This process includes calculating the zbias value for each flight line so that all flight lines are vertically aligned and the entire data set match to the ground control points within the project specified accuracy range. The technician will run a final vertical accuracy check after the z correction. The result will be analyzed against the project specified accuracy to verify it meets the requirement.



#### 2.3 DATA EVALUATION

RAMPP evaluated the LAS data and provided the final LiDAR QA report for Chemung Watershed, NY confirming that the data meets the project specification.

#### 3.0 TSDN

#### 3.1 GENERAL

All the LiDAR data for the Chemung Watershed, NY AOI was acquired and processed as part of the topographic data. TSDN documentation forms are provided in Appendix A.

#### 3.2 DELIVERABLES

All topographic data development TSDN files were submitted via hard drives to the FEMA Engineering Library. Supporting documentation was uploaded to the MIP. The following folder structure of the digital data was used:

- \General
  - XML\_format metadata file
  - Certification
    - Certification of Compliance
  - Project narrative
    - Detailed Check and ITR
    - Chemung\_FY\_2010\_LiDAR\_TSDN
    - Chemung LiDAR Survey Report
    - RAMPP\_QA\_ChemungNY
    - Chemung\_metadata
- \Bare\_Earth
  - Classified\_Tiles
    - LAS
- \Supplemental\_Data
  - Boresighted Flightlines
  - Chemung\_Collection\_Area
  - Chemung\_Processing\_Boundary
  - Chemung\_Processing\_Tile\_Grid
- \Breaklines
  - Chemung\_Hydro\_Breaklines.gdb



#### 4.0 EXCEPTIONS

For this project the development of terrain was not scoped. Additionally, the compilation of breaklines, DEM and production of contours were not required for this project. Breaklines were not scoped, but they were completed and will be provided as part of the package.

#### 5.0 CONCLUSIONS

RAMPP completed the acquisition and processing of the Chemung Watershed, NY AOI as described in Tasks Order HSFEHQ-10-J-0006 under the prime contract HSFEHQ-09-D-0369. The acquisition and processing meet the requirements set forth by FEMA's Procedure Memorandum 61.

Final deliverables have been shipped to the FEMA Engineering Library, via external hard drive, and the appropriate documentation has been uploaded to the MIP.

## 6.0 REFERENCES

Federal Emergency Management Agency, *Guidelines and Specifications for Flood Hazard Mapping Partners*, as amended, Washington, DC. 2003

Federal Emergency Management Agency, *Procedure Memorandum 61: Standards for LiDAR and other High Quality Elevation Data*, Washington DC. 2010



# **APPENDIX A**TSDN DOCUMENTS



Table A-3. Digital Topographic Data Requirements Checklist

Surface Description (choose one)		Reflective surface	(if using LIDAR)
Bare-earth surface (FEMA default)			Last (FEMA default)
Top surface (e.g., treetops/rooftops	s)	LIDAR intensit	
Bathymetric surface		Other simultane	eous imagery
<b>Vertical Accuracy</b> (choose one)			
$\square$ 1' contour equiv. (Accuracy <sub>z</sub> = 0.6 f	řt.)	5' contour equi	v. (Accuracy <sub>z</sub> = $3.0 \text{ ft.}$ )
$\boxtimes$ 2' contour equiv. (Accuracy <sub>z</sub> = 1.2 th	ft.)	Other: Accurac	$\mathbf{y}_{\mathbf{z}} = \underline{\qquad} \mathbf{f} \mathbf{t}$
$\square$ 4' contour equiv. (Accuracy <sub>z</sub> = 2.4 the	ft.)		
Vertical accuracy at the 95% confidence	ce level (Accurac	$cy_z$ ) = RMSE <sub>z</sub> x 1.96	600 with normal distribution
Horizontal Accuracy (choose one)			
$\square$ 1" = 500' equiv. (Accuracy <sub>r</sub> = 11' or	r 3.35 m)	$\square$ RMSE <sub>r</sub> = 1 m	
$\square$ 1" = 1000' equiv. (Accuracy <sub>r</sub> = 22' of	or 6.7 m)	$\boxtimes$ RMSE <sub>r</sub> = <u>0.6r</u>	<u>n</u>
Horizontal accuracy at the 95% confidence			
Data Model (choose one or more)			
Contours Mass po	ints	TIN (average p	oint spacing = _0.6 meters) *
Cross sections Breaklin			cing =meters)
* FEMA's standard DEM post spacing			
hydraulic modeling. The TIN point sp			
denser network of irregularly-spaced p			
Horizontal Datum (choose one)		Vertical Datum (c	choose one)
☐ NAD 27	(default)	☐ NGVD 29	NAVD 88 (default)
Coordinate System (choose one)			
		Geographic	
<u>Units</u> Note: For feet and meters, vertic			
Feet to 2 decimal places	V H		s to decimal places
$\boxtimes$ Meters to $\_3$ decimal places $\boxtimes$	V 🖂 H		decimal places
Feet are assumed to be U.S. Survey Fe	et unless specific	ed to the contrary	
Data Format (choose one or more)	1		,
Digital contour lines and breaklines	Mass points an		DEMs
∐.DGN	ASCII x/y/		ASCII x/y/z
.DO (DLG Optional)	_	attribute data	∐ .BIL
∐ .DXF	BIN	2.5	∐ .BIP
E00		fo Export File	□ .BSQ
☐ .MIF/.MID	⊠ LAS mass	<u>points</u>	DEM (USGS standard)
☐ .SHP			ESRI Float Grid
<u></u> SDTS			ESRI Integer Grid
<u> </u> TAB			GeoTiff
Other			☐ .RLE
			Other
	File s	ize or Tile size (cho	ose one)
		le size 342 MB or 1	
Tile size 10,000 ft x 10,000 ft (specify feet or meters)			
			due to buffered processing
		uffer size:	
Other Quality Factors (optional, expl	ain on separate p	page)	
☐ Cleanness from artifacts			
Limits on size/location of void areas where there are no elevation data shown			
How elevations are to be shown for	void areas		
Hydro-enforcement	Bridges	s/culverts removed?	⊠ Yes □ No
Other requirements	9		
· · · · · · · · · · · · · · · · · · ·			



## L.5 Federal Emergency Management Agency Digital Mapping Information Checklist

The following checklist is intended to solicit basic information about the format of digital mapping data submitted to the Federal Emergency Management Agency (FEMA) for preparation of a Digital Flood Insurance Rate Map (DFIRM). Please note that metadata compliant with the Federal Geographic Data Committee's *Content Standard for Digital Geospatial Metadata* should be submitted also. This metadata must include the following information and further details about the data submitted.

#### **Point of Contact:**

Name and/or Title: Community/Agency:	Regional Support Center-II Coordinator RAMPP
Address:	560 Broadway, Suite 304
	New York, NY 10012
Telephone:	645-216-3677
Email:	miphelp@riskmapcds.com
Data Type:	
Pertinent information includes	the following:
Format:  ESRI Cove ESRI Shape MapInfo Intergraph AutoCAD Digital Line Other: Ter Digital Ortl	e Graph rrain, DEM and TINs hophoto  Black & white Color TIF JPEG SID PNG Raw
	Georeferenced  Dots per inch  Black & white  Grey scale  Color
Source Information:	
How and when were the data c following:	ompiled? By whom? At what scale? Pertinent information includes the
<ul><li>☐ Photogrammetrically</li><li>☐ LiDAR</li></ul>	compiled



Digitized from a hardcopy source  Parcel maps/Plat maps USGS quadrangles Orthophotos Aerial photos Other community map: Generated using coordinate geometry (COGO) Scanned  Date of photography or source material: Collection dates: April 30 <sup>th</sup> to May 10 <sup>th</sup> , 2011.
Scale of data creation: Agency or firm that produced the data: <u>Tuck Mapping Solutions</u> , <u>Inc.</u> .
Date of creation (if incomplete, provide estimated completion date): May 12, 2011
Projection, Datums, Accuracy: What coordinate system and projection were used? What horizontal and vertical datums were used? What is the stated accuracy of the data?
Coordinate system/projection:  State Plane:  UTM:Zone 18  Geographic (latitude and longitude)  Other:  Units:  Feet  Meters  Decimal degrees  Degrees, minutes, seconds  Other:  Horizontal datum:  NAD27, Clarke 1866 spheroid  NAD83, NSRS 2007  Vertical datum:  NGVD29  NAVD88, Geoid09  Other:  Accuracy:  Vertical elevations will meet or exceed 0.125 m RMSE (Accuracyz = 0.245m at the 95% confidence level)  Horizontal accuracy will meet or exceed 0.6m RMSE (Accuracyr = 1.04 m at the 95% confidence level).
Data Contents:
What features are contained in the data set(s)? Are feature names included? If so, are they available as attributes and/or graphic text (annotation)? Please provide file structure details in the form of metadata, a data dictionary, or a layer list in addition to this form
Roads Centerlines Edge of pavement



	Right of ways
	Road names
	Scale(s) at which they were intended to be used
	Railroads
	Railroad names
	Airports
	Airport names
	Streams, lakes, other water bodies
	Feature names
	Range & township/section lines and numbers
	Political boundaries
	Area names
	Flood control structures (dams, weirs, jetties, culverts, etc.)
	Floodplain boundaries and/or other FIRM features
	Contours
<b>—</b>	Contour interval:
$\bowtie$	DEM/DTM/TIN
ᆜ	Building outlines
	Parcels
Transfe	r Media:
What op	tions are there for transferring the data to other users? What are the platform options?
	Media:
	☐ CD-ROM
	8mm tape
	4mm tape
	Zip disk
	Diskettes
	DISACTICS
	Email
	Other: MIP
	Platforms:
-	UNIX
	N PC
	□ NT
	Other:



CERTIFICATION OF COMPLIANCE				
Proje	ect Name:	FY10 LiDAR Acquisition – Chemung Watershed, NY		
State	ement of Work No.:	HSFEHQ-10-J-0006		
Inter	agency Agreement No.:			
СТР	Agreement No.:			
State	ement/Agreement Date:			
Certi	ification Date:	10/25/11		
	Tasks/Activities Cov	ered by This Certification (Check All That Apply)		
	Entire Project			
X	Topographic Data Develop	oment		
	Hydrologic Analyses			
	Hydraulic Analyses			
	Coastal Flood Hazard Ana	llyses		
	Floodplain Mapping			
	Other (Specify):			
	This is to certify that the work summarized above was completed in accordance with the statement/agreement cited above and all amendments thereto, together with all such modifications, either written or oral, as the Regional Project Officer and/or Assistance Officer or their representative have directed, as such modifications affect the statement/agreement, and that all such work has been accomplished in accordance with the provisions contained in <i>Guidelines and Specifications for Flood Hazard Mapping Partners</i> cited in the contract document, and in accordance with sound and accepted engineering practices within the contract provisions for respective phases of the work.			
Nam	Name: Harold W. Rempel			
Title	Title: Senior Photogrammetrist			
Firm	Firm/Agency Represented: RAMPP			
Regi	Registration No.: CP ASPRS #1418			
Sign	Signature: Apacle to the second to the secon			
	This form must be signed by a representative of the firm contracted to perform the work who is registered as a Professional Engineer or by the responsible official of a government agency.			



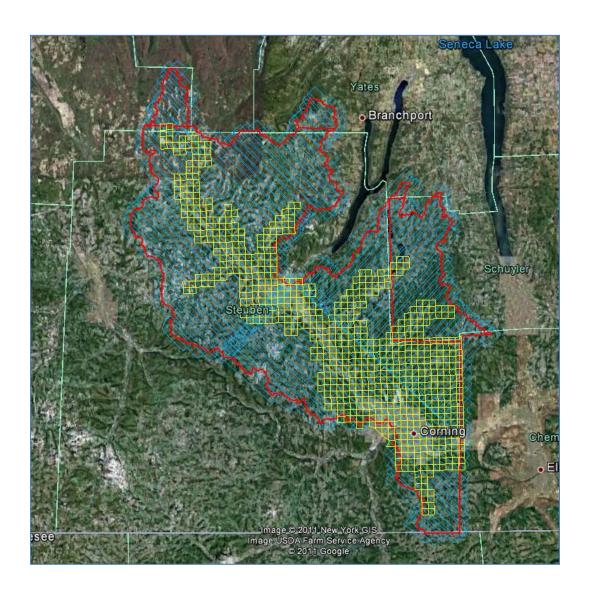
## APPENDIX B LIDAR QUALITATIVE AND QUANTATIVE ASSESMENT REPORT





# **Chemung Watershed, NY Area of Interest**

## **INDEPENDENT QUALITY CONTROL REPORT**



Task Order HSFEHQ-10-J-0006



## Chemung Watershed, NY Independent Quality Control Report Table of Contents

1	EXECUTIVE SUMMARY	1
2	OVERVIEW	1
	<ul><li>2.1 Project Area</li><li>2.2 Applicable Specifications &amp; Guidelines</li></ul>	
3	PROJECT INITIATION PLAN	3
	3.1 Review of Project Initiation Plan	
4	QA PROCESS	5
	4.1 Software 4.2 Qualitative Assessment Process 4.2.1 Macro Checks (100% of AOI-1 and AOI-2) 4.2.2 Micro Checks (5% of AOI-2)	5 5
5	GROUND SURVEY AND DATA ACQUISITION	8
	5.1 Review of Ground Survey Report	8 9 9
6	PROJECT DATA DELIVERABLES	12
	6.1 Review of AOIs Processed to Level 1 6.2 Review of AOIs Processed to Level 2 6.3 Macro and Micro Assessments 6.3.1 Macro Check Results 6.3.2 Micro Check Results 6.3.3 Notes and Comments 6.4 Intensity Images 6.5 3D Breaklines 6.5.1 Notes and Comments 6.6 Low Confidence Areas	12 13 14 15 16 16 17
7	DATA ACCURACY REPORT	18
	7.1 Data Accuracy Assessment 7.1.1 Software Used	18 19 22 23 25
8		
9		
	0.1 Cradits	27



## 1 Executive Summary

Risk Assessment, Mapping, and Planning Partners (RAMPP) performed a limited review of the Chemung Watershed, New York dataset. 100% of the data was checked for completeness and 5% of the data was visually examined at the micro level for qualitative issues according to the scope of work. A vertical accuracy assessment was performed on the first return points in the Level 1-processed dataset (AOI-1) and the full point cloud in the Level 2-processed dataset (AOI-2). No major completeness or quality issues were identified. Both datasets meet the Federal Emergency Management Agency's (FEMA) vertical accuracy specifications.

#### 2 Overview

The Independent Quality Control for the Chemung Area of Interest (AOI) was performed by RAMPP. This review validates the quality of the Light Detection and Ranging (LiDAR) data for use in flood risk mapping products in support of the National Flood Insurance Program. This document outlines the quality review of LiDAR data covering the Chemung AOI acquired and post-processed by RAMPP subcontractor Tuck Mapping Solutions, Inc. TMSI between April 30 and May 10, 2011.

## 2.1 Project Area

LiDAR data was acquired by TMSI for the Chemung Watershed and broken down into two AOIs based on the level of processing performed on the dataset. AOI-1 covers approximately 709 square miles, which is the full extent of the acquisition area plus a 100-meter buffer around the perimeter of this boundary. AOI-1 was delivered as full-swath, calibrated, and boresighted flight lines in LAS format. A subset of AOI-1, delineated by a buffer around the major stream networks, covers approximately 308 square miles. This dataset (AOI-2) was processed by TMSI to classify bare-earth ground points and hydro features and was delivered as a tiled, classified, point cloud in LAS format using the following classification scheme:

- Class 1 Processed, but unclassified
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- Class 7 Low points and noise
- o Class 9 Water
- o Class 10 Ignored Ground
- o Class 11 Withheld

Figure 1 shows the acquisition and processing areas for the Chemung AOI dataset. The blue lines depict the swath boundaries acquired and processed for AOI-1, and the yellow lines show the extent of the data that was processed for AOI-2.



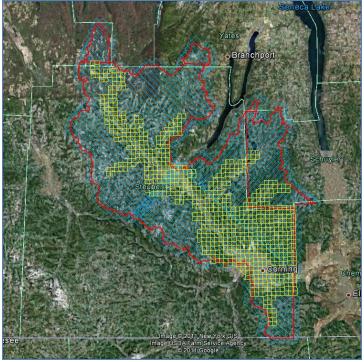


Figure 1: Chemung Watershed AOIs data coverage

## 2.2 Applicable Specifications & Guidelines

The following specifications/guidelines are applicable to this report:

1. Federal Emergency Management Agency, Procedure Memorandum No. 61 – Standards for LiDAR and Other High Quality Digital Topography, <a href="http://www.fema.gov/library/viewRecord.do?id=4345">http://www.fema.gov/library/viewRecord.do?id=4345</a>

- 2 -



## 3 Project Initiation Plan

The following quality control actions were taken prior to the aerial acquisition of the LiDAR data for these AOIs and upon receipt of the Project Initiation Plan from TMSI.

#### 3.1 Review of Project Initiation Plan

TMSI was required to submit a Project Initiation Plan to RAMPP for approval, prior to the commencement of data collection operations. The RAMPP QA team verified that all parameters in the Project Initiation Plan were followed and documented in the post-flight acquisition and processing reports.

The required content for this plan included:

- Schedule (data acquisition, data processing, data delivery), including contact information for the project and field operation manager(s)
- Proposed flight lines in ESRI shapefile or graphic format
- GPS base station locations in ESRI shapefile and graphic format, as well as supporting National Geodetic Survey (NGS) control information
- Proposed baseline lengths for aerial collection
- Calibration testing methodology
- LiDAR collection parameters (flying height, scan field of view, angle, pulse rate, scanner frequency, side-lap percentage, point density, etc.)
- Proposed acquisition windows including maximum position dilution of precision (PDOP) values
- Description of internal verification quality control processes:
  - Data validation
  - Pre-processing and accuracy check
  - o Processing quality control
  - Product delivery quality control
- Communication of any issues that might affect the acquisition or processing of the intended project (such as restricted airspace)

#### 3.1.1 Results

The following table outlines the results of the QA review of the Project Initiation Plan:

Table 1: QA of Project Initiation Plan – Chemung Watershed			
	Pass /		
Items Reviewed	Fail	Comments	
Schedule provided for data acquisition,			
processing and delivery	Pass	None	
Proposed flight lines submitted in GIS or		Flight lines provided in	
graphic format	Pass	graphic format only	
Base station location submitted in GIS and		Base station locations	
graphic format along with NGS control		provided in graphic format	
information	Pass	only	
Proposed baseline lengths for aerial data	Pass	None	



Table 1: QA of Project Initiation Plan – Chemung Watershed			
collection			
Calibration testing methodology(s) described	Pass	None	
LiDAR collection parameters described	Pass	None	
Proposed acquisition windows and maximum			
PDOP values outlined	Pass	None	
Description of internal verification QC			
processes:			
Data validation	Pass	None	
Pre-processing and accuracy check	Pass	None	
Processing quality control	Pass	None	
Product delivery quality control	Pass	None	
Description of any potential issues that may affect the acquisition or processing of data	Pass	None	
affect the acquisition or processing of data	Pass	None	



#### 4 QA Process

RAMPP employs a suite of commercial software and proprietary scripts when reviewing LiDAR data. These tools are incorporated into the RAMPP quality control review workflow, as described in section 4.2 below.

#### 4.1 Software

The main software programs used by RAMPP in performing the qualitative assessment are as follows:

- GeoCue: a geospatial data/process management system especially suited to managing large LiDAR data sets
- *Terrascan:* runs inside Bentley Microstation; used for point classification checks and points file generation
- Proprietary tools: developed in-house to conduct a statistical analysis of .LAS files
- QT Modeler: used for vertical accuracy assessment and visual analysis of classified LiDAR data

#### 4.2 Qualitative Assessment Process

The following systematic approach was used for performing the qualitative assessment of this delivery.

#### 4.2.1 Macro Checks (100% of AOI-1 and AOI-2)

Boresighted flight lines and classified tiles received from TMSI were reviewed for completeness and formatting issues.

#### 4.2.1.1 LAS Header Review

A proprietary LAS parser was used to read the LAS header, Variable Length Records, and individual point data records for accurate echo (return), classification, intensity values, etc. The header review confirmed that tile naming conventions were followed correctly and that deliverable formats are correct.

#### 4.2.1.2 LiDAR Ortho Rasters

LiDAR Intensity Ortho rasters (Figure 2) created from the point cloud intensity values are created for the entire project area and reviewed at a small scale (project level) for data voids. LiDAR Intensity Orthos were created from the classified tiles (AOI-2). The LiDAR Ortho review confirmed that there are no data voids or other missing data except in legitimate hydro areas.



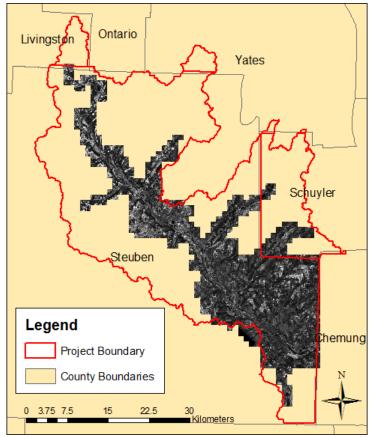


Figure 2: LiDAR Ortho Rasters of project area

Delta-Z Ortho rasters were created in GeoCue by comparing the elevation of ground classified points from overlapping flight lines and applying a red-yellow-green color scale based on the elevation difference. The maximum acceptable tolerance for the Delta-Z Orthos is equal to the fundamental vertical accuracy requirement (0.245 meters). More information on fundamental vertical accuracy can be found in Section 7 of this report.

## 4.2.2 Micro Checks (5% of AOI-2)

Ground density models (Figure 3) are created in QT Modeler that use a red-green color scale based on the minimum acceptable point density (equal to 2x the Nominal Point Spacing). Density models are effective in showing misclassifications, poor LiDAR penetration, and other point density issues. These models can also be color scaled by elevation to highlight issues such as "artifacts" or features misclassified as ground, spikes, and divots, and flight line ridges in the overlap areas.



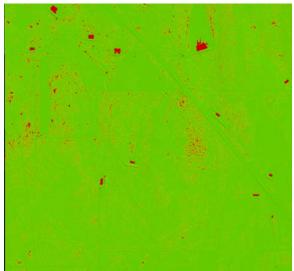


Figure 3: Ground density model in QT Modeler. Red areas have less than 2 meters NPS

#### 4.2.2.1 Reviewed 5% of the AOI-2 data for anomalies to include:

- 1. Buildings, bridges, and vegetation misclassified as ground
- 2. Proper definition of roads and drainage patterns
- 3. Areas that have been "shaved off' or "over-smoothed" during filtering
- 4. Point density specification is met

#### 4.2.2.2 Swath Overlap

Project specifications stipulate that the LiDAR acquisition is planned with a minimum of 20% overlap between flight lines. A spot check of the overlap was done by coloring the point cloud by source ID (flight line number) and measuring the width of the overlap (Figure 4). The swath overlap review confirmed that there is at least a 20% overlap between flight lines throughout the project area.

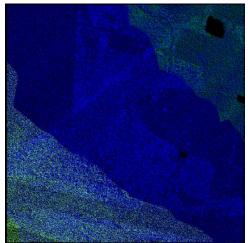


Figure 4: Point cloud colored by Source ID (Flight line Number)

Independent Quality Control Report – Chemung Watershed, NY AOIs



## 5 Ground Survey and Data Acquisition

The following quality control actions were taken after the aerial acquisition of LiDAR data for these AOIs and upon receipt of the following reports:

- Acquisition Report LiDAR Processing Report, dated August 31, 2011
- Report of Survey Chemung NY GPS Report, dated January 11, 2011

#### 5.1 Review of Ground Survey Report

TMSI was tasked by RAMPP to perform a ground control survey in support of data collection efforts in the Chemung Watershed.

The survey conducted in support of data collection efforts was required to meet the following specifications for this project:

- All surveys conducted shall be referenced to NGS control monuments in the National Spatial Reference System (NSRS) using appropriate horizontal and vertical control
- Base station locations should be the "best" horizontal (second order or better) and vertical (third order or better) available and have a stability of "C" or better
- New control established where suitable monuments do not exist shall conform to the Standards and Specifications for Geodetic Control Networks (1984), Federal Geodetic Control Committee (FGCC)
- Primary control monuments established with GPS shall meet or exceed NOS NGS-58 "Guidelines for Establishing GPS-Derived Ellipsoidal Heights (Standards: 2 cm and 5 cm)" using the appropriate and latest geoid model, and should be monumented to maintain stability and reoccupation if necessary
- Ground control stations are expected to have local network accuracy at the 95% accuracy level of 2 cm horizontally and vertically
- Supporting documentation such as processing reports, minimally and constrained 3-D least squares adjustment, pictures of the stations, etc.

#### 5.1.1 Results

The following table outlines the results of the QA review of the Report of Survey for the Chemung Watershed:

Table 2: QA of Report of Survey – Chemung Watershed		
	Pass	
Items Reviewed	/ Fail	Comments
Survey is referenced to NGS control monuments in the NSRS		
using appropriate horizontal and vertical control	Pass	None
Base station locations are the "best" horizontal (second order or		
better) and vertical (third order or better) available and have a		
stability of "C" or better	Pass	None
New control conforms to the Standards and Specifications for		
Geodetic Control Networks (1984), FGCC	Pass	None
Primary control monuments established with GPS meet or exceed		
NOS NGS-58 "Guidelines for Establishing GPS-Derived		
Ellipsoidal Heights (Standards: 2 cm and 5 cm)" using the	Pass	None

Independent Quality Control Report - Chemung Watershed, NY AOIs



Table 2: QA of Report of Survey – Chemung Watershed				
appropriate and latest geoid model and should be monumented to maintain stability and reoccupation if necessary				
Ground control stations meet local network accuracy at the 95% accuracy level of 2 cm horizontally and vertically	Pass	None		
Supporting documentation submitted such as processing reports, minimally and constrained 3-D least squares adjustment, pictures				
of the stations, etc.	Pass	None		

#### 5.1.2 Notes and Comments

None.

## 5.2 Data Acquisition Review

The following project specifications related to the data acquisition were checked by RAMPP for compliance:

- LiDAR is to be collected for two AOIs covering the Chemung Watershed with a 100 meter buffer for a combined area of 709 square miles:
  - o AOI-1 709 square miles
  - o AOI- 2 308 square miles
- LiDAR is to be collected using sensors capable of a minimum of three multiple discrete returns containing range and intensity values for first, intermediate, and last returns for each emitted pulse
- The nominal post spacing (NPS) for all identified areas of interest within FEMA Region VI will be one meter. Assessment to be made against single swath, first return data located within the geometrically usable center portion (typically 90%) of each swath. Average along-track point spacing will be comparable
- Data Voids [areas => (4\*NPS)<sup>2</sup>, measured using 1<sup>st</sup> returns only] within a single swath will be deemed unacceptable; except where caused by water bodies, areas of low near infra-red reflectivity, or where filled appropriately by another swath.
- Consistent with Section 1.6 of the USGS LiDAR Guidelines and Specification, V.13, a regular grid with a cell size of equal to the design NPS\*2 will be laid over the first return data within the geometrically usable center portion of each swath and at least 90% of the grid cells shall contain at least one LiDAR point
- The nominal side-lap between adjacent flight lines will be no less than 30%
- The scan angle total Field of View (FOV) shall not exceed 40° (+/- 20° off nadir) with an oscillating mirror scanner
- Relative accuracy shall be <=7cm RMSEz within individual swaths; <=10cm RMSEz within swath overlap areas
- The project area shall be fully and sufficiently covered with no data voids caused by gaps between flight lines and/or sensor malfunctions
- Acquisition window and constraints:
  - Leaf-off conditions required
  - Area shall be free of snow and of flood condition with rivers remaining in their channels and near average heights or lower
  - Extraneous environmental conditions such as rain, fog, or smoke shall be avoided



- Base stations used in support of acquisition shall be set for collecting dual frequency data at one Hz intervals
- Baseline lengths of base stations shall not exceed 30 miles unless the LiDAR provider can provide definitive proof that longer baseline length for this project can support the project accuracy requirements
- Quality statistics from the airborne GPS/IMU processing shall be made available upon request
- Ground surveys conducted in support of the boresight and processing of the LiDAR shall be tied into the base stations used for acquisition
- All collected swaths shall be delivered as part of the raw data deliverable. Swaths shall be split into segments no greater than 2 GB each, with each swath assigned a unique File Source ID.

#### 5.2.1 Results

The following table outlines the results of the QA review of the data acquisition phase for Chemung:

Table 3: QA of Data Acquisition – Chemung Watershed			
Items Reviewed	Pass / Fail	Comments	
LiDAR is to be collected for the Chemung AOI-1 and AOI-2 with a			
100-meter buffer for a combined area of 709 square miles	Pass	None	
LiDAR is to be collected using an approved, fully calibrated			
system capable of collecting multiple echoes per pulse with a			
minimum of first, last, and one intermediate echo	Pass	None	
The system shall be capable of collecting the intensity (LiDAR			
pulse signal strength) for each echo signal at a minimum 8-bit			
depth	Pass	None	
The nominal post spacing shall be no greater than 1 meter.			
Assessment to be made against single swath, first return data			
located within the geometrically usable center portion (typically			
~90%) of each swath. Average along-track and cross-track point			
spacing should be comparable.	Pass	None	
The nominal side-lap between adjacent flight lines will be no less			
than 30%	Pass	None	
Total FOV shall not exceed 40° (+/- 20° off nadir) with an			
oscillating mirror scanner (60 of for Regal sensors)	Pass	None	
The project area shall be fully and sufficiently covered with no			
data voids caused by gaps between flight lines and/or sensor			
malfunctions.	Pass	None	
Data Voids [areas => (4*NPS)², measured using 1st returns only]			
within a single swath will be deemed unacceptable, except where			
caused by water bodies, areas of low near infrared reflectivity, or	_		
where filled appropriately by another swath	Pass	None	
Base stations used in support of acquisition shall be set for			
collecting dual frequency data at 1 Hz intervals	Pass	None	
Baseline lengths of base stations shall not exceed 30 miles			
unless the LiDAR provider can provide definitive proof that longer	_		
baseline length for this project can support the project accuracy	Pass	None	



Table 3: QA of Data Acquisition – Chemung Watershed					
Items Reviewed	Pass / Fail	Comments			
requirements					
Quality statistics from the airborne GPS/IMU processing shall be					
provided	Pass	None			
Relative accuracy – no flight line to flight line or point to point offsets present due to sensor anomalies or mismatches. •Relative accuracy shall be <=7cm RMSEz within individual swaths;					
<=10cm RMSEz within swath overlap areas	Pass	None			
Ground surveys conducted in support of the boresight and processing of the LiDAR shall be tied into the base stations used					
for acquisition	Pass	None			
Swaths split into segments no greater than 2 GB each with each having a unique File Source ID	Pass	None			
Acquisition window and constraints:					
Leaf-off conditions required	Pass	None			
Area shall be free of snow and of flood condition with rivers remaining in their channels and near average heights or lower	Pass	None			
Extraneous environmental conditions such as rain, fog, or smoke shall be avoided	Pass	None			
Reports reviewed:					
Flight logs encompassing all collection dates	Pass	None			
Aerial acquisition report	Pass	None			
Ground survey report	Pass	None			



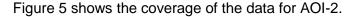
## 6 Project Data Deliverables

#### 6.1 Review of AOIs Processed to Level 1

The full acquisition LiDAR dataset (AOI-1), covering 709 square miles, was processed to Level 1, which is a fully calibrated, boresighted flight lines dataset with files in LAS format. A 100% completeness review and vertical accuracy assessment of the first-return points in open terrain was performed. No quality issues were identified. A vertical accuracy assessment was performed on the first-return points in open terrain and the data meets FEMA's vertical accuracy requirements. Vertical accuracy assessment tables are provided in Section 7 of this report.

#### 6.2 Review of AOIs Processed to Level 2

The classified LiDAR dataset (AOI-2), covering 308 square miles, was processed to Level 2, in which the point cloud is classified to bare-earth ground points, hydro, and overlap/noise. A 100% completeness review, 5% visual review, and vertical accuracy assessment was performed. No data quality issues were identified. The vertical accuracy assessment meets FEMA's vertical accuracy requirements and the results are provided in Section 7 of this report.



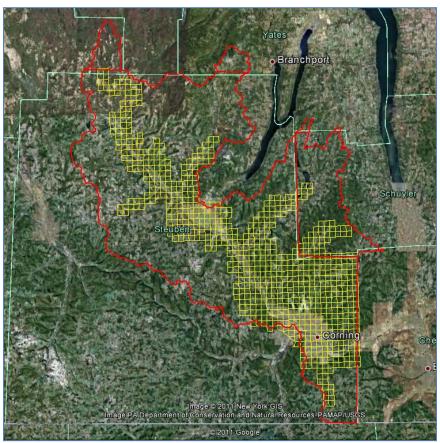


Figure 5: Outline of AOI-2 coverage



#### 6.3 Macro and Micro Assessments

The following project specifications for the data delivery were checked for compliance using a combination of macro and micro checks:

Macro checks (used to verify the following for 100% of the data):

- Data will be processed and delivered in LAS 1.2, where all the required data structure is maintained by the LiDAR processing software, and the current version of Terrascan. All major fields will be maintained.
- The header file shall contain, at a minimum, the "File Creation Year Day" and "File Creation Year" which shall represent the final deliverable LAS date.
- Projection information for the point data shall be specified in the Variable Length Record using the appropriate GeoTIFF tags
- The horizontal datum shall be referenced to the North American Datum NAD83 using the latest adjustment revision (NSRS 2007)
- The vertical datum shall be referenced to the North American Vertical Datum of 1988 (NAVD88)
- The most recent NGS-approved Geoid shall be used to convert ellipsoidal heights to orthometric heights
- The coordinate system shall be UTM, NAD83, meters, using the predominant UTM Zone for the collection area
- All units will be to 1 cm resolution
- Tiles shall align and contain no buffers or over-edges
- Classification codes shall follow the ASPRS Standard LiDAR Point Classes utilizing only the following:
  - Class 1 Processed but unclassified
  - o Class 2 Bare-earth ground
  - Class 7 Low points and noise
  - o Class 9 Water
  - o Class 11 Withheld
- No points shall be deleted from the LAS file (all points must be included)

Micro checks (used to verify the following for 5% of the data)-

- Consistent with section 1.6 of the U.S. Geological Survey (USGS) LiDAR Guidelines and Specification, V.13, a regular grid with a cell size of equal to the design NPS\*2 will be laid over the first return data within the geometrically usable center portion of each swath and at least 90% of the grid cells shall contain at least one LiDAR point
- Classifications shall adhere to the following guidelines through the use of automated and manual filtering routines:
  - 90% of artifacts classified
  - 95% of outliers classified
  - 95% of vegetation classified
  - o 98% of buildings classified
- Channel geometry of streams and drainage features shall be maintained



- Dense vegetation data voids shall be minimized by the filtering process and "over smoothing" due to aggressive classification filters shall be avoided
- Outliers, blunders, noise points, etc., classified as Class 7 or 1 unless current version of Terrascan allows for use of Class 12 "Withheld"

#### 6.3.1 Macro Check Results

Macro checks are conducted on 100% of both datasets. The following table outlines the results of the Macro Check QA review of the data set provided for the Chemung AOI:

Table 4: Macro Check QA of AOIs – Chemi	Table 4: Macro Check QA of AOIs – Chemung Watershed					
	Pass /					
Items Reviewed	Fail	Comments				
Masspoint data delivered in LAS files utilizing the latest						
LAS specification (currently LAS 1.2) containing all LAS						
items of point data record format 1	Pass	None				
The header file contains, at a minimum, the "File Creation						
Year Day" and "File Creation Year" and represents the						
final deliverable LAS date	Pass	None				
Projection information for the point data specified in the						
Variable Length Record using the appropriate GeoTIFF						
tags	Pass	None				
The horizontal datum referenced to the North American						
Datum NAD83 using the latest adjustment revision						
(NSRS 2007)	Pass	None				
The vertical datum referenced to the NAVD88	Pass	None				
The latest geoid used to convert ellipsoidal heights to						
orthometric heights	Pass	None				
The project data is in UTM, NAD83, Meters using the						
predominate UTM zone for the collection area	Pass	None				
All units reported to 1 cm resolution or 1/100 of a foot	Pass	None				
Tiles shall align and contain no buffers or over-edges	Pass	None				
Classification codes shall follow the ASPRS Standard						
LiDAR Point Classes utilizing only the following:						
Class 1 – Processed but not classified	Pass	None				
Class 2 – Bare-earth ground	Pass	None				
Class 7 – Low points and noise	Pass	None				
Class 9 – Water	Pass	None				
Class 11 – Withheld	Pass	None				
No points shall be deleted from the LAS file (all points						
must be included)	Pass	None				

#### 6.3.2 Micro Check Results

Micro checks are conducted on 5 percent of AOI-2. The data selected for review was chosen semi-randomly to review data throughout the project area, while focusing on



areas of urban development and hydrographic significance when possible. Figure 6 depicts the locations of the tiles that were selected to conduct the 5% micro review.

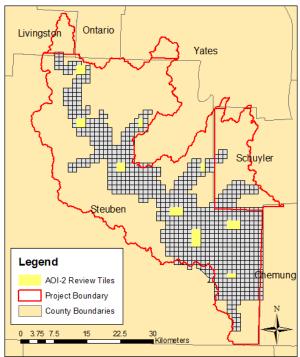


Figure 6: The figure depicts the location of tiles selected for the 5% micro review

The following table outlines the results of the Micro Check QA review of the dataset provided for the Chemung AOIs:

Table 5: Micro Check QA of AOIs – Chemung Watershed					
	Pass /				
Items Reviewed	Fail	Comments			
Outliers, blunders, noise points, etc. classified as Class 7 or 1					
unless current version of Terrascan allows for use of Class 11					
"Withheld"	Pass	None			
Classifications shall adhere to the following guidelines through					
the use of automated and manual filtering routines:					
90 percent of artifacts classified	Pass	None			
95% of outliers classified	Pass	None			
95% of vegetation classified	Pass	None			
98% of buildings classified	Pass	None			
Channel geometry of streams and drainage features shall be					
maintained	Pass	None			
Dense vegetation data voids shall be minimized by the filtering		_			
process and "over smoothing" due to aggressive classification					
filters shall be avoided	Pass	None			

#### 6.3.3 Notes and Comments

No issues identified.



## 6.4 Intensity Images

Intensity images derived from the LiDAR point cloud were not required for this scope of work. However, intensity values were provided in the LAS files.

#### 6.5 3D Breaklines

Breakline (hydro-line) generation was conducted in order to classify water points in the LAS and to meet the USGS V.13 specifications for flattening. The following project specifications for the data delivery were checked for compliance by conducting a 5% review of the delivered line work:

- Inland ponds, lakes, and boundary waters greater than 2 acres or greater surface area (~350' diameter for a round pond) at the time of collection will be collected in the appropriate hydro-line feature class
- Inland streams and rivers with a 100' nominal width will be collected in the appropriate hydro-line feature class
- Hydro-lines will be delivered as an ESRI feature class (Polyline or Polygon format as appropriate to the type of feature represented and the methodology used) in a geodatabase
- Each feature class or shape file will include properly formatted and accurate georeferencing information in the standard location. All feature classes must include a projection
- Breaklines must use the same coordinate reference system (horizontal and vertical) and units as the LiDAR points delivery
- Breakline delivery may be as a continuous layer or in tiles, at the discretion of the data producer. Tiled deliveries must edge-match seamlessly in both the horizontal and the vertical.

Table 6: Breakline Check QA of AOIs – Chemung Watershed					
	Pass /				
Items Reviewed	Fail	Comments			
Inland ponds, lakes, and boundary waters greater than 2					
acres or greater surface area (~350' diameter for a round					
pond) at the time of collection collected in the appropriate					
hydro-line feature class	Pass	None			
Inland streams and rivers with a 100' nominal width collected					
in the appropriate hydro-line feature class	Pass	None			
Hydro-lines delivered as an ESRI feature class (Polyline or		See Section			
Polygon format as appropriate to the type of feature		6.5.1 Notes			
represented and the methodology used) in a geodatabase		and			
	Pass	Comments			
Each feature class or shape file includes properly formatted					
and accurate georeferencing information in the standard					
location. All feature classes include a projection	Pass	None			
Breaklines use the same coordinate reference system					
(horizontal and vertical) and units as the LiDAR points delivery	Pass	None			
Breaklines delivered as a continuous layer or in tiles. If tiled					
deliveries, tiles edge-match seamlessly in both the horizontal	Pass	None			



Table 6: Breakline Check QA of AOIs – Chemung Watershed						
and the vertical						
Topology rules were validated as specified in FEMA						
Procedure Memorandum #61	Pass	None				

#### 6.5.1 Notes and Comments

FEMA has no minimum breakline requirements. Breaklines for the Chemung Watershed were delivered in a geodatabase.

The following feature classes were provided:

• Hydrographic Features (Polygon ZM)

#### 6.6 Low Confidence Areas

Low Confidence Areas were compiled by the data provider in the areas where the vertical data may not meet the data accuracy requirements due to heavy vegetation even though the specified nominal point spacing was met. RAMPP delivered low confidence areas as polygons in accordance with a database schema.

Table 7: Low Confidence Check for AOIs – Chemung Watershed					
Pass /					
Items Reviewed	Fail	Comments			
Low confidence areas are captured as polygons in accordance					
with a database schema	Pass	None			



## 7 Data Accuracy Report

RAMPP performed the LiDAR vertical accuracy assessment for the Chemung Watershed AOIs in accordance with ASPRS/NDEP and NSSDA/FEMA specifications and guidelines. Separate assessments were conducted for AOI-1 and AOI-2 as they were processed to different specifications.

The LiDAR data produced for this project adheres to the ASPRS/NDEP and NSSDA/FEMA accuracy standards, as referenced in the accuracy section of the IDIQ Subcontract #: HSFEHQ-09-D-0369-U005, Task Order HSFEHQ-10-J-0006, September 3, 2010.

## 7.1 Data Accuracy Assessment

The data accuracy assessment for Chemung was conducted for each of the two AOIs. A limited vertical accuracy assessment was performed on AOI-1 using the open terrain checkpoints against the first return LiDAR points in open terrain. A full vertical accuracy assessment was performed on AOI-2 using all surveyed checkpoints against the ground classified LiDAR.

#### 7.1.1 Software Used

- GeoCue: a geospatial data/process management system especially suited to managing large LiDAR data sets
- QT-Modeler: used for direct comparison of the QC checkpoints against the LiDAR Class 2 or ground points
- *Microsoft Excel:* used to calculate accuracy values and statistics from the vertical accuracy assessment.

#### 7.1.2 Vertical Accuracy Testing Process

The primary quantitative assessment steps were as follows:

- 1. TMSI acquired new raw LiDAR data in April and May 2011, and performed post-processing to derive the bare-earth digital terrain model.
- ESP surveyed 80 ground checkpoints in four land cover categories in accordance with FEMA specifications and guidelines. All project survey work adhered to the rules and regulations for providing professional land surveying services.
- 3. ESP provided RAMPP with a table of horizontal coordinates and orthometric heights for all surveyed checkpoints, classified by land cover category. RAMPP created a triangulated irregular network (TIN) from the bare-earth LiDAR points, and interpolated a z-value at each of the survey point locations.
- 4. RAMPP compared the LiDAR-derived elevations of the check points to the surveyed check point orthometric heights and computed the vertical accuracy assessment according to FEMA/NSSDA and ASPRS/NDEP specifications.



The spatial distribution of ground checkpoints surveyed by ESP is shown in Figure 7.

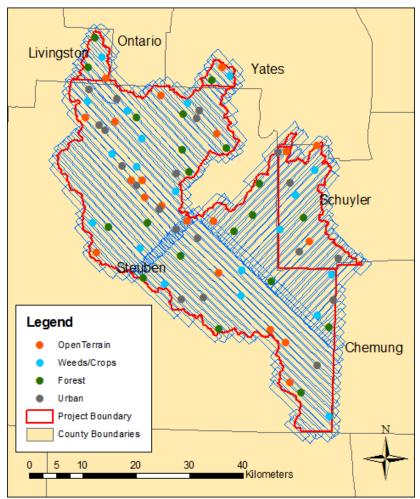


Figure 7: Chemung checkpoints surveyed by ESP and used for testing vertical accuracy.

## 7.1.3 Vertical Accuracy Testing – NDEP and ASPRS Procedures

A vertical accuracy assessment was conducted to determine how well the LiDAR sensor performed in the various land cover categories present within the Chemung project area. RAMPP tested the data using methodologies proscribed by FEMA/NSSDA for vertical accuracy in open terrain, as well as methodologies proscribed by ASPRS/NDEP for vertical accuracy in multiple land cover categories.

Fundamental Vertical Accuracy (FVA) in open terrain was tested on AOI-1. Checkpoints in the Open Terrain land cover category were tested against the first return LiDAR points in open terrain across the entire acquisition area. FVA is reported at the 95% confidence level, which is computed as the root mean square error of the checkpoint elevations (RMSEz) x 1.9600. The maximum tolerance was 0.125 meters RMSE x 1.9600; the resulting fundamental vertical accuracy tolerance was 0.245 meters.



Supplemental Vertical Accuracy (SVA), though not a requirement for this project, was calculated separately for each land cover category in AOI-2, including Open Terrain (Bare Earth), High Grass, Forest, and Urban categories. Post-processing procedures performed on LiDAR, such as classification algorithms, may yield elevation errors that do not follow a normal error distribution; therefore the SVA at the 95% confidence level equals the 95<sup>th</sup> percentile error for all checkpoints in each individual land cover category.

Consolidated Vertical Accuracy (CVA) within the entire AOI was determined by using all checkpoints in all land cover categories combined. Like the SVA methodology, the CVA methodology assumes that LiDAR errors may not follow a normal distribution in vegetated categories and, at the 95% confidence level, equals the 95<sup>th</sup> percentile error for all checkpoints in all land cover categories combined.

Tables 8 and 9 summarize the vertical accuracy by fundamental, consolidated, and supplemental methods within each AOI:

Table 8: AOI 1 - Vertical Accuracy at 95% Confidence Level					
Fundamental Vertical Accuracy Land Cover # of (RMSEz x 1.9600)					
Category	Points	Spec = 0.245 m			
Open Terrain	16	0.232			

Table 9: AOI 2 - Vertical Accuracy at 95% Confidence Level and 95 <sup>th</sup> Percentile							
Land Cover Category	# of Point s	Fundamental Vertical Accuracy (RMSEz x 1.9600) Spec = 0.245 m	Consolidated Vertical Accuracy (95th Percentile) Spec = 0.363 m	Supplemental Vertical Accuracy (95th Percentile) Spec = 0.365 m			
Consolidated	36		0.187				
Open Terrain	10	0.196		0.171			
Weeds/Crops	8			0.120			
Forested	5			0.173			
Urban	13			0.170			

Figures 8 and 9 illustrate the magnitude of differences between the QC checkpoints and the processed LiDAR data by specific land cover category in each AOI:



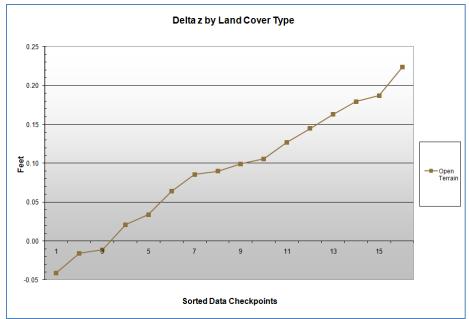


Figure 8: Magnitude of elevation discrepancies by land cover category for AOI-1

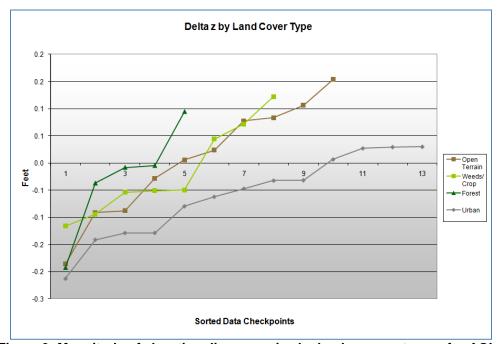


Figure 9: Magnitude of elevation discrepancies by land cover category for AOI-2

## 7.1.3.1 Analysis of the 95<sup>th</sup> Percentile

No checkpoints exceeded the 95<sup>th</sup> percentile.



#### 7.1.4 Vertical Accuracy Testing – NSSDA and FEMA Procedures

To comply with current FEMA guidelines, RMSEz statistics were computed in the relevant land cover categories, individually and combined, as well as other recommended statistics for each AOI. This process assists in the analysis by checking for any anomalous characteristics that may be present in the LiDAR data. These statistics are summarized in Tables 10 and 11 below.

	Table 10: AOI 1 - Descriptive Statistics											
100% of Totals								Points			STDEV	95 <sup>th</sup> Percentile
		Spec=0.125 m	(m)	(m)		(m)	Spec=0.363 m					
Consolidated	16	0.119	0.091	0.095	-0.102	0.079	0.119					
Open Terrain	16	0.119	0.091	0.095	-0.102	0.079	0.119					

Table 11: AOI 2 - Descriptive Statistics								
100% of Totals	Points	RMSE Spec=0.125 m	Mean Error (m)	Median Error (m)	SKEW	STDEV (m)	95 <sup>th</sup> Percentile Spec=0.363 m	
Consolidated	49	0.093	-0.027	-0.032	-0.080	0.091	0.093	
Open Terrain	10	0.100	0.006	0.015	-0.441	0.105	0.100	
Weeds/Crops	12	0.081	-0.016	-0.050	0.591	0.085	0.081	
Forest	14	0.097	-0.030	-0.008	-0.890	0.104	0.097	
Urban	13	0.094	-0.059	-0.047	-0.548	0.076	0.094	

Figures 10 and 11 illustrate histograms of the associated elevation discrepancies between the QC checkpoints and elevations as interpolated from the LiDAR TIN for each AOI. The frequency of elevation differences is distributed within each band of elevation differences.



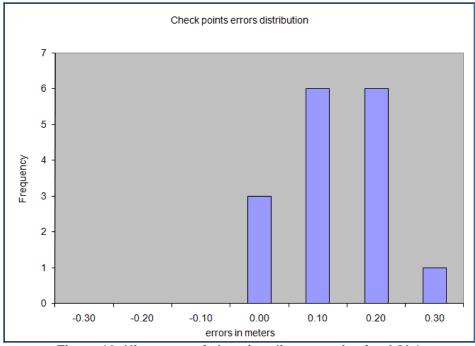


Figure 10: Histogram of elevation discrepancies for AOI-1

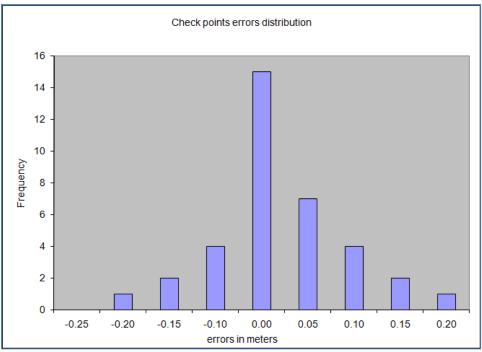


Figure 11: Histogram of elevation discrepancies for AOI-2

## 7.1.5 Checkpoints not used (AOI-1)

Four points were removed before conducting the vertical accuracy assessment. Because the vertical accuracy assessment was performed on the first return points in the full point cloud, there are vegetation points that do not represent the true ground



surface that can introduce error into the vertical accuracy test (see Figure 12, 13, and 15). There are also points along the edge of the flightline that would not be used in the ground classification that can introduce error into the vertical accuracy test (see Figure 14). The following figures illustrate the points that were eliminated from the vertical accuracy assessment.

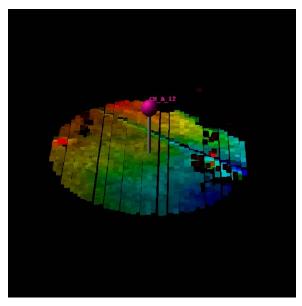


Figure 12: Checkpoint CH\_A\_12 removed from the vertical accuracy assessment

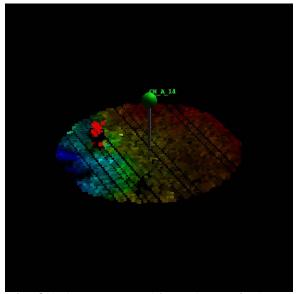


Figure 13: Checkpoint CH\_A\_14 removed from the vertical accuracy assessment



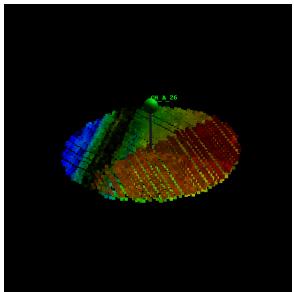


Figure 14: Checkpoint CH\_A\_26 removed from the vertical accuracy assessment

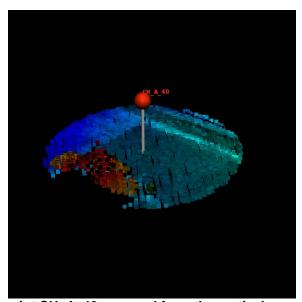


Figure 15: Checkpoint CH\_A\_40 removed from the vertical accuracy assessment

## 7.1.6 Checkpoints not used (AOI-2)

Several checkpoints were surveyed outside the AOI-2 buffered processing area and were not used in the AOI-2 vertical accuracy assessment.

Point No	Easting	Northing	Elevation	Z LiDAR	Delta Z
CH_A_02	316657.192	4720049.516	514.885	N/A	N/A
CH_A_12	315083.105	4691369.273	405.455	N/A	N/A
CH_A_21	328706.398	4704454.059	380.004	N/A	N/A
CH_A_26	334525.307	4705498.123	444.542	N/A	N/A
CH_A_36	329405.095	4661202.854	395.289	N/A	N/A
CH_A_40	293185.757	4685541.073	492.372	N/A	N/A
CH_A_55	294909.079	4718018.085	470.739	N/A	N/A



Point No	Easting	Northing	Elevation	Z LiDAR	Delta Z
CH_A_60	291101.64	4710761.702	465.496	N/A	N/A
CH_A_62	315670.49	4707787.117	453.214	N/A	N/A
CH_A_65	305317.571	4714816.522	481.777	N/A	N/A
CH_B_04	310148.286	4713418.779	632.221	N/A	N/A
CH_B_15	327420.5	4689721.359	465.413	N/A	N/A
CH_B_24	330367.556	4696239.791	355.003	N/A	N/A
CH_B_27	333903.897	4700958.622	376.686	N/A	N/A
CH_B_29	336683.13	4654820.225	387.969	N/A	N/A
CH_B_38	305890.957	4679689.548	495.168	N/A	N/A
CH_B_41	301257.126	4686366.009	401.616	N/A	N/A
CH_B_48	292457.978	4691039.263	498.203	N/A	N/A
CH_B_54	294060.034	4722034.815	529.734	N/A	N/A
CH_B_57	291417.441	4713780.45	410.316	N/A	N/A
CH_B_61	318160.564	4718577.416	449.836	N/A	N/A
CH_B_69	301627.258	4706741.636	410.267	N/A	N/A
CH_D_03	314804.924	4717689.789	498.042	N/A	N/A
CH_D_05	316013.362	4671222.123	466.935	N/A	N/A
CH_D_10	318955.405	4689401.942	460.02	N/A	N/A
CH_D_23	323672.87	4698279.525	370.498	N/A	N/A
CH_D_25	331890.846	4692013.867	461.949	N/A	N/A
CH_D_39	301893.556	4680792.323	561.048	N/A	N/A
CH_D_42	308823.695	4684784.989	487.714	N/A	N/A
CH_D_47	295342.888	4690240.629	479.213	N/A	N/A
CH_D_51	302697.054	4690990.704	390.519	N/A	N/A
CH_D_53	292908.025	4725659.523	557.889	N/A	N/A
CH_D_58	291560.183	4720107.399	500.101	N/A	N/A
CH_D_63	317479.935	4704945.501	412.099	N/A	N/A
CH_D_68	300690.857	4710722.821	582.672	N/A	N/A
CH_D_70	309345.486	4711387.249	604.534	N/A	N/A
CH_D_78	309192.812	4704750.306	448.461	N/A	N/A
CH_E_01	311893.764	4710650.959	456.841	N/A	N/A
CH_E_06	313160.464	4677005.543	386.436	N/A	N/A
CH_E_13	338465.047	4684461.827	387.893	N/A	N/A
CH_E_22	327156.348	4704249.521	356.838	N/A	N/A
CH_E_28	329356.081	4698586.578	365.615	N/A	N/A
CH_E_37	308992.56	4676841.827	401.168	N/A	N/A
CH_E_64	312410.745	4712086.311	450.565	N/A	N/A

## 8 Metadata

The project metadata was reviewed and checked using the following methods:

- Structure of the metadata file was compared against Federal Geographic Data Committee standards by using the USGS Geospatial Metadata Validation Service:
  - http://geo-nsdi.er.usgs.gov/validation/
- Metadata content was reviewed using a visual check for accuracy.



## 9 Conclusion

Based on the limited qualitative and vertical accuracy assessments conducted by RAMPP on the data delivered, the Chemung Watershed, NY delivery meets the applicable project specifications as set forth by the IDIQ Subcontract # HSFEHQ-09-D-0369-U005, Task Order HSFEHQ-10-J-0006, revised September 3, 2010.

#### 9.1 Credits

Organizations involved in the procurement, acquisition, processing, and quality control of the Chemung Watershed AOIs LiDAR dataset are identified below.

Function	Responsible Organization
LiDAR procurement	FEMA
LiDAR acquisition and processing	Tuck Mapping Solutions, Inc.
Checkpoint surveys	ESP
Accuracy assessment and reporting	RAMPP
Independent Technical Review	RAMPP

**Vertical Accuracy and Qualitative Assessment Conducted by:** 

Stephen DiCicco

Senior Geospatial Analyst

al Vilio

# **APPENDIX C**External Hard Drive or DVD with All Applicable Data

